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Form PTO-1390 (REV 10-95)		U. S. Department of Commerce Patent and Trademark Office	ATTORNEY'S DOCKET NUMBER PP/W-21904/A/AC 515/PCT
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 09/889889 ✓
INTERNATIONAL APPLICATION NO. PCT/EP00/00160 ✓	INTERNATIONAL FILING DATE JANUARY 12, 2000 ✓	PRIORITY DATE CLAIMED JANUARY 26, 1999 ✓	
TITLE OF INVENTION AQUEOUS POLYMERIC EMULSION COMPOSITIONS AND THEIR USE FOR THE SIZING OF PAPER ✓			
APPLICANT(S) FOR DO/EO/US SIMON DONNELLY, JOHN ROBERT STOCKWELL and JOHN PLONKA ✓			

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39 (1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau. (See attached Form PCT/IB/308)
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English 35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included.

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: (See attached Form PCT/ISA/210)

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)		INTERNATIONAL APPLICATION NO.		ATTORNEY'S DOCKET NUMBER	
09/889889		PCT/EP00/00160		PP/W-21904/A/AC 515/PCT	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
BASIC NATIONAL FEE (37 CFR 1.492(a) (1)-(5)):					
Search Report has been prepared by the EPO or JPO				\$860.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482)				\$690.00	
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2)).				\$750.00	
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO.				\$1000.00	
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4).				\$100.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$860.00	
Surcharge of \$130.00 for furnishing the oath of declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	26 - 20 =	6	X \$18.00	\$108.00	
Independent claims	3 - 3 =	0	X \$80.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$968.00	
Reduction of 1/2 for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$968.00	
Processing fee of \$130.00 for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$968.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	
				Amount to be:	\$
				refunded	
				charged	\$
a. <input type="checkbox"/> A check in the amount of \$_____ to cover the above fees is enclosed.					
b. <input checked="" type="checkbox"/> Please charge my Deposit Account No. 03-1935 in the amount of \$968.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 03-1935. A duplicate copy of this sheet is enclosed.					
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
PLEASE ASSOCIATE THE ATTACHED APPLICATION WITH CUSTOMER NUMBER 000324 AND SEND ALL CORRESPONDENCE TO:					
JoAnn Villamizar Patent Department 540 White Plains Road P.O. Box 2005 Tarrytown, NY 10591-9005			David R. Crichton SIGNATURE David R. Crichton NAME 37,300 REGISTRATION NUMBER		

CASE PP/W-21904/A/AC 515/PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF

Group Art Unit:

SIMON DONNELLY ET AL.

Examiner:

APPLICATION NO: Not Yet Assigned

FILED: Concurrently Herewith

FOR: AQUEOUS POLYMERIC EMULSION
COMPOSITIONS AND THEIR USE FOR
THE SIZING OF PAPER

Assistant Commissioner for Patents

Washington, D.C. 20231

PRELIMINARY AMENDMENT

Dear Sir:

Applicants present the instant Preliminary Amendment for entry and consideration in order to place the instant application in better condition for examination on its merits.

The Commissioner is authorized to charge any fee due, or credit any overcharge, as a result of this Preliminary Amendment to Deposit Account No. 03-1935.

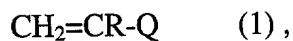
Please amend the above-identified patent application, without prejudice, as follows:

IN THE CLAIMS:

Amend claims 2-8, 10-16, 18-22, 24-26 by replacement as follows:

2. (amended) A method according to claim 1 wherein component (b) is selected from the group consisting of C₈₋₂₀ alkyl mercaptans, C₅₋₇ cycloalkyl mercaptans, aromatic mercaptans, C₈₋₂₀ alkyl sulphones, C₅₋₇ cycloalkyl mercaptans and aromatic sulphones.

3. (amended) A method according to claim 1 wherein the oligomer further comprises component (c) which is a compound of formula (1)



wherein

Q is -C(O)-Z-A-, -CH₂-N⁺R₁R₃CH₂CR=CH₂ X⁻ or -CH₂NR₁CH₂CR=CH₂,

Z is -O- or -NH-,

A is -C_nH_{2n}-B-,

n is an integer from 1 to 4,

B is -NR₁R₂ or -N⁺R₁R₂R₃ X⁻,

R is -H or -CH₃,

R₁ is C₁₋₄ alkyl,

R₂ is C₁₋₄ alkyl,

R₃ is -H or C₁₋₈ alkyl, C₅₋₇ cycloalkyl or benzyl, and

X⁻ is an anion.

4. (amended) A method according to claim 1 wherein component (c) is dimethylaminoethyl (meth)acrylate, acid addition salt or quaternary ammonium salt thereof.

5. (amended) A method according to claim 1 wherein the oligomer comprises at least 85 mole % of component (a).

6. (amended) A method according to claim 1 wherein the oligomer comprises component (b) in an amount up to 10 mole %.

7. (amended) A method according to claim 1 wherein the oligomer comprises component (c) in an amount up to 10 mole %.

8. (amended) A method according to claim 1 wherein the oligomer further comprises component (d) which is an ethylenically unsaturated carboxylic acid or an ethylenically unsaturated carboxylic anhydride in an amount up to 10 mole %.

10. (amended) A method according to claim 1 wherein the matrix of the polymeric particles are formed from a monomer or a monomer blend comprising monomers selected from the group consisting of styrene, C₁₋₁₂ alkyl (meth)acrylate, vinyl acetate and acrylonitrile.

11. (amended) A method according to claim 1 wherein the matrix of the polymer particles is formed from 25-75 weight % monomer or monomer blend selected from any of styrene, acrylonitrile, vinyl acetate and

C₁₋₂ alkyl (meth)acrylate, and 25-75 weight % monomer or monomer blend selected from C₃₋₈ alkyl (meth)acrylate.

12. (amended) A method according to claim 1 wherein the matrix of the polymer particles is formed from 25-75 weight styrene and 25-75 weight 2-ethylhexyl acrylate.

13. (amended) A method according to claim 1 wherein the polymer particles are formed from a monomer blend comprising cross linking monomer.

14. (amended) A method according to claim 1 wherein the polymer particles have a minimum film forming temperature of between -5 and 55°C.

15. (amended) A method according to claim 1 wherein the polymer particles have a particle size in the range 80-200nm.

16. (amended) A method according to claim 1 wherein the composition (A) comprises 0.5 to 10 weight %polymer particles and 90 to 99.5 weight %, starch based on total dry weight of polymer particles and starch.

18. (amended) A method according to claim 17 wherein the composition comprises an aqueous dispersion of polymeric particles of particle size up to 1 micron, wherein the polymeric particles comprise a water insoluble polymer matrix, and the oligomer is located at the surface of the polymer particles.

19. (amended) A method according to claim 17 wherein the oligomer is formed from a monomer blend comprising,

- (a) 85-95 mole % (meth)acrylamide,
- (b) 2.5-10 mole % of an organic mercaptan or an organic sulphone, ,
- (c) 2.5-10 mole % of an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group, and
- (d) 0-10 mole% other ethylenically unsaturated monomers.

20. (amended) A method according to claim 17 wherein the composition comprises 0.5 to 10 weight %polymer particles and 90 to 99.5 weight %, starch based on total dry weight of polymer particles and starch.

21. (amended) A method according to claim 17 wherein the composition comprises optical brightening aids.

22. (amended) A composition comprising an aqueous dispersion of polymeric particles of particle size up to 1 micron, wherein the polymeric particles comprise a water insoluble polymer matrix, characterized in that an oligomer formed from a monomer blend comprising,

- (a) 85-95 mole % (meth)acrylamide and
- (b) 2.5-10 mole % of an organic mercaptan or an organic sulphone, and
- (c) 2.5-10 mole % of an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group, and
- (d) 0-10 mole% other ethylenically unsaturated monomers, preferably acrylic acid or maleic anhydride,

is located at the surface of the polymer particles.

24. (amended) A composition according to claim 22, wherein component (b) is dodecyl mercaptan or dodecyl sulphone, present in an amount of 2.5-5 mole % based on total oligomer.

25. (amended) A composition according to claim 22, wherein component (c) is dimethylaminoethyl methacrylate, present in an amount of 2.5-5 mole % based on total oligomer.


26. (amended) A composition according to claim 22, wherein component (d) is acrylic acid or maleic anhydride, present in an amount of 2.5-5 mole % based on total oligomer.

Remarks

Upon entry of the instant Preliminary Amendment, claims 1-26 are pending. Claims 2-8, 10-16, 18-22, 24-26 have been amended as to form to eliminate multiple dependencies and preferred embodiments. The amendments are without prejudice to the filing of claims directed to such canceled subject matter in this or a subsequent application. No new matter has been added.

In view of the foregoing amendments, Applicants aver that the instant claims are now in better condition for examination on the merits. Early favorable action is respectfully solicited. If minor amendments will further prosecution, Applicants request that the Examiner contact the undersigned representative.

Respectfully submitted


David R. Crichton
Attorney for Applicants
Reg. No. 37,300

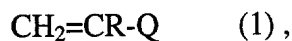
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DRC/

JUL 23 2001

Marked Up Claims

2. (amended) A method according to claim 1 wherein component (b) is selected from the group consisting of C₈₋₂₀ alkyl mercaptans, C₅₋₇ cycloalkyl mercaptans, aromatic mercaptans, C₈₋₂₀ alkyl sulphones, C₅₋₇ cycloalkyl mercaptans and aromatic sulphones, ~~preferably either dodecyl mercaptan or dodecyl sulphone.~~

3. (amended) A method according to claim 1 ~~or claim 2~~ wherein the oligomer further comprises component (c) which is a compound of formula (1)



wherein

Q is -C(O)-Z-A-, -CH₂-N⁺R₁R₃CH₂CR=CH₂ X⁻ or -CH₂NR₁CH₂CR=CH₂,

Z is -O- or -NH-,

A is -C_nH_{2n}-B-,

n is an integer from 1 to 4,

B is -NR₁R₂ or -N⁺R₁R₂R₃ X⁻,

R is -H or -CH₃,

R₁ is C₁₋₄ alkyl,

R₂ is C₁₋₄ alkyl,

R₃ is -H or C₁₋₈ alkyl, C₅₋₇ cycloalkyl or benzyl, and

X⁻ is an anion, ~~preferably halide, most preferably chloride.~~

4. (amended) A method according to ~~any of claims 1 to 3~~ claim 1 wherein component (c) is dimethylaminoethyl (meth)acrylate, acid addition salt or quaternary ammonium salt thereof, ~~preferably dimethylaminoethyl (meth)acrylate, methyl chloride quaternary ammonium salt or dimethylaminoethyl (meth)acrylate or benzyl chloride quaternary ammonium salt.~~

5. (amended) A method according to ~~any of claims 1 to 4~~ claim 1 wherein the oligomer comprises at least 85 mole % of component (a), ~~preferably at least 90 mole %.~~

6. (amended) A method according to ~~any of claims 1 to 5~~claim 1 wherein the oligomer comprises component (b) in an amount up to 10 mole %, ~~preferably 2.5–5 mole %~~.

7. (amended) A method according to ~~any of claims 1 to 6~~claim 1 wherein the oligomer comprises component (c) in an amount up to 10 mole %, ~~preferably 2.5–5 mole %~~.

8. (amended) A method according to ~~any of claims 1 to 7~~claim 1 wherein the oligomer further comprises component (d) which is an ethylenically unsaturated carboxylic acid or an ethylenically unsaturated carboxylic anhydride in an amount up to 10 mole %.

10. (amended) A method according to ~~any of claims 1 to 9~~claim 1 wherein the matrix of the polymeric particles are formed from a monomer or a monomer blend comprising monomers selected from the group consisting of styrene, C₁₋₁₂ alkyl (meth)acrylate, vinyl acetate and acrylonitrile.

11. (amended) A method according to ~~any of claims 1 to 10~~claim 1 wherein the matrix of the polymer particles is formed from 25-75 weight %, ~~preferably 35–65 weight %, most preferably 50 weight %,~~ monomer or monomer blend selected from any of styrene, acrylonitrile, vinyl acetate and C₁₋₂ alkyl (meth)acrylate, ~~preferably styrene, acrylonitrile, methyl methacrylate, methyl acrylate, ethyl methacrylate, vinyl acetate and 25-75 weight %, preferably 35–65 weight %, most preferably 50 weight %;~~ monomer or monomer blend selected from ~~any of~~ C₃₋₈ alkyl (meth)acrylate, ~~preferably butyl acrylate, n-hexyl acrylate, n-octyl acrylate and 2-ethylhexyl acrylate.~~

12. (amended) A method according to ~~any of claims 1 to 11~~claim 1 wherein the matrix of the polymer particles is formed from 25-75 weight %, ~~preferably 35–65 weight %, most preferably 50 weight %~~ styrene and 25-75 weight %, ~~preferably 35–65 weight %, most preferably 50 weight %~~ 2-ethylhexyl acrylate.

13. (amended) A method according to ~~any of claims 1 to 12~~claim 1 wherein the polymer particles are formed from a monomer blend comprising cross linking monomer.

14. (amended) A method according to ~~any of claims 1 to 13~~claim 1 wherein the polymer particles have a minimum film forming temperature of between -5 and 55°C, ~~preferably between 25 and 45°C, most preferably about 35°C.~~

15. (amended) A method according to ~~any of claims 1 to 14~~claim 1 wherein the polymer particles have a particle size in the range 80-200nm, ~~preferably 100-120nm.~~

16. (amended) A method according to ~~any of claims 1 to 15~~claim 1 wherein the composition (A) comprises 0.5 to 10 weight %, ~~preferably 2.5 to 5 weight %~~, polymer particles and 90 to 99.5 weight %, ~~preferably 95 to 97.5 weight %~~, starch based on total dry weight of polymer particles and starch.

18. (amended) A method according to claim 17 wherein the composition comprises an aqueous dispersion of polymeric particles of particle size up to 1 micron, ~~preferably 80-200nm~~, wherein the polymeric particles comprise a water insoluble polymer matrix, ~~preferably formed from styrene and 2-ethylhexyl acrylate~~, and the oligomer is located at the surface of the polymer particles.

19. (amended) A method according to claim 17 ~~or claim 18~~ wherein the oligomer is formed from a monomer blend comprising,

(a) 85-95 mole % (meth)acrylamide,

(b) 2.5-10 mole % of an organic mercaptan or an organic sulphone, ~~preferably dodecyl-mercaptan or dodecyl-sulphone~~,

(c) 2.5-10 mole % of an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group, ~~preferably dimethylaminoethylmethacrylate~~, and

(d) 0-10 mole% other ethylenically unsaturated monomers, ~~preferably acrylic acid or maleic anhydride.~~

20. (amended) A method according to ~~any of claims 17 to 19~~claim 17 wherein the composition comprises 0.5 to 10 weight %, ~~preferably 2.5 to 5 weight %~~, polymer particles and 90 to 99.5 weight %, ~~preferably 95 to 97.5 weight %~~, starch based on total dry weight of polymer particles and starch.

21. (amended) A method according to ~~any of claims 17 to 20~~claim 17 wherein the composition comprises optical brightening aids.

22. (amended) A composition comprising an aqueous dispersion of polymeric particles of particle size up to 1 micron, wherein the polymeric particles comprise a water insoluble polymer matrix, ~~preferably formed from styrene and 2-ethylhexyl acrylate, characterised~~characterized in that an oligomer formed from a monomer blend comprising,

(a) 85-95 mole % (meth)acrylamide and

(b) 2.5-10 mole % of an organic mercaptan or an organic sulphone, and

(c) 2.5-10 mole % of an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group, and

(d) 0-10 mole% other ethylenically unsaturated monomers, preferably acrylic acid or maleic anhydride,

is located at the surface of the polymer particles.

24. (amended) A composition according to ~~claim 22 or claim 23~~, wherein component (b) is dodecyl mercaptan or dodecyl sulphone, present in an amount of 2.5-5 mole % based on total oligomer.

25. (amended) A composition according to ~~any of claims 22 to 24~~claim 22, wherein component (c) is dimethylaminoethyl methacrylate, present in an amount of 2.5-5 mole % based on total oligomer.

26. (amended) A composition according to ~~any of claims 22 to 25~~claim 22, wherein component (d) is acrylic acid or maleic anhydride, present in an amount of 2.5-5 mole % based on total oligomer.

Aqueous Polymeric Emulsion Compositions and their Use for the Sizing of Paper

The present invention relates to novel compositions comprising an aqueous dispersion of water insoluble polymer particles, wherein the polymer particles further comprise at their surface an oligomeric compound. The invention also encompasses a method of sizing paper and a method for improving the printability of paper.

It is known to produce dispersions of water insoluble polymer particles by emulsion polymerisation or by suspension polymerisation. In such processes it would be usual to disperse a water insoluble monomer or monomer blend into an aqueous continuous phase to form droplets of monomer. In aqueous emulsion polymerisation or aqueous suspension polymerisation it is standard practice to use surface active ingredients such as emulsifiers, surfactants or polymerisation stabilisers. Typically such compounds would have a high Hydrophilic Lipophilic Balance (HLB) so that the compound would partition preferentially in the aqueous continuous phase.

It is known to use oligomeric compounds as emulsifiers or polymerisation stabilisers in the emulsion polymerisation of water insoluble monomers such as styrene, acrylonitrile, ethyl acrylate and butyl acrylate and the like. Such compositions have been produced as rubber or plastic latexes from which the solid polymer could be obtained. Generally such compositions were produced in order to provide products that exhibited low viscosity and reduced foaming characteristics and yet were able to form suitable solid rubber or plastic materials.

It is standard practice in the field of paper and board manufacture to both internally and externally size paper. The sizing agent imparts hydrophobicity to the paper or paper board and improves the resistance to penetration by liquids, especially water. Internal sizing is normally achieved by incorporating a sizing agent into the paper making stock prior to draining on the machine wire. The sizing agent may be a non-reactive size such as rosin, but more usually is a reactive size such as alkenyl succinic anhydride (ASA). ASA would easily be dispersed throughout the cellulosic suspension and chemically reacts with the cellulose and is therefore generally

regarded as a very efficient sizing agent. However, due to the reactivity of ASA size, and the ease with which it easily hydrolyses the aqueous sizing emulsions are normally made up in situ. If hydrolysis of the ASA anhydride groups take place to any significant degree before application, this could result in gummy deposits which would damage paper formation and in severe cases stop paper production. Therefore care has to be taken when applying reactive size.

It is known to use various hydrophobic materials such as waxes and water insoluble polymers and reactive sizes, such as ASA as paper surface sizing compositions. Generally such compositions are prepared as aqueous dispersions or emulsions and are blended with other materials such as starch prior to being applied to the paper sheet at the size press. It is important to impart the right degree of hydrophobicity and thus water resistance without impairing the brightness of the paper. It is often the case that a product that imparts optimum hydrophobicity significantly reduces brightness. Often it is necessary to strike a compromise between hydrophobicity and brightness and the product that is chosen does not impair brightness too significantly and yet provides adequate water resistance. Where products provide maximum water resistance to the paper, the resolution of images during printing would normally be improved. However, any significant reduction in paper brightness would mean that there would also be a poor contrast between the paper and image.

It would therefore be desirable to provide a paper sizing composition which imparts significantly improved hydrophobicity and printability characteristics and yet does not significantly reduce the brightness of the paper or board.

Thus a method of sizing paper or paper board is provided wherein a composition (A) is applied to at least one of,

- i) the surface of a formed paper or paper board sheet,
- ii) a paper or paper board making cellulosic suspension prior to draining,

wherein the composition (A) comprises an aqueous dispersion of polymeric particles of particle size up to 1 micron, wherein the polymeric particles comprise a water insoluble polymer matrix, comprising characterised in that an oligomer formed

from a monomer blend comprised of ethylenically unsaturated monomer or ethylenically unsaturated monomer blend,

(a) (meth)acrylamide and

(b) an organic mercaptan or organic sulphone,

is located at the surface of the polymer particles.

It has been found that the application of composition (A) to a paper making stock prior to draining on the machine wire produces effective internally sized paper. The composition (A) may be applied as the neat polymer dispersion as a single addition to the paper making stock or may be combined with for instance the retention, drainage aids or strength aids. Often it is preferred to apply the composition as a formulation in pre cooked starch. Typically the formulation would comprise 90-99.5 weight % starch and 0.5-10 weight % polymer particles, preferably 92.5-97.5 weight % starch and 2.5-7.5 weight % polymer particles, more preferably 95-97.5 weight % starch and 2.5-5 weight % polymer particles, based on total dry weight of starch and polymer particles. It has surprisingly been found that the composition can be used to form internally sized paper with superior properties.

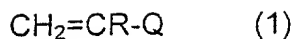
Composition (A) is particularly suited for surface sizing of paper and provides unique properties to the paper. Incorporated in the method of sizing paper or board composition (A) is applied to the surface of a formed sheet of paper or paper board. Often it is preferred to apply the composition as a formulation in pre cooked starch. Typically the formulation would comprise 90-99.5 weight % starch and 0.5-10 weight % polymer particles, preferably 92.5-97.5 weight % starch and 2.5-7.5 weight % polymer particles, more preferably 95-97.5 weight % starch and 2.5-5 weight % polymer particles, based on total dry weight of starch and polymer particles. Although the composition (A) would be desirably applied as a blend with starch it may also be applied as a neat aqueous dispersion of polymer particles. In addition to combining composition (A) with starch it may also be desirable to combine other ingredients normally applied during surface sizing, for instance Optical Brightening Agents (OBA). Normally the neat composition is applied at the size press in order to achieve maximum benefits of the sizing method disclosed herein.

Preferably in the method of sizing paper and paper board the composition comprises an aqueous dispersion of polymeric particles of particle size up to 1 micron, wherein the polymeric particles comprise a water insoluble polymer matrix and at the surface an oligomer formed from a monomer blend comprising,

- (a) (meth)acrylamide,
- (b) an organic mercaptan or organic sulphone,
- (c) an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group and
- (d) optionally other monomers.

The oligomer comprised in the composition used in the method of sizing paper may incorporate any organic mercaptan or organic sulphone but is preferably C_{1-20} optionally substituted alkyl mercaptans, C_{1-20} optionally substituted alkyl sulphones, optionally substituted C_{5-7} cycloalkyl mercaptans, optionally substituted aromatic mercaptans, optionally substituted C_{5-7} cycloalkyl sulphones or optionally substituted aromatic sulphones are preferred. More preferred are C_{8-20} alkyl mercaptans, C_{5-7} cycloalkyl mercaptans, aromatic mercaptans, C_{8-20} alkyl sulphones, C_{5-7} cycloalkyl sulphones or aromatic sulphones. Particularly preferred is either dodecyl mercaptan or dodecyl sulphone. Suitable substituted alkyl mercaptans include 3-mercaptopropanoic acid, 2-mercaptoethanol whilst 2-sulphonylethanol and 3-sulphonylpropanoic acid are suitable substituted alkylsulphones. The amount of organic mercaptan or organic sulphone used in the oligomer is normally up to 10 mole %. It may be possible to use more than one organic mercaptan and/or organic sulphone in the preparation of the oligomer, although the combined molar proportions of organic mercaptan(s) and/or organic sulphone(s) is generally up to 10 mole %. Preferably the level of organic mercaptan or organic sulphone compounds is in the range 2.5-5 mole %.

In the method of sizing paper or board the oligomer comprises any of ethylenically unsaturated tertiary amine or quaternary ammonium compounds into the oligomer, but preferred compounds are represented by compounds formula (1)



wherein

Q is $-\text{C}(\text{O})-\text{Z}-\text{A}$, $-\text{CH}_2-\text{N}^+\text{R}_1\text{R}_3\text{CH}_2\text{CR}=\text{CH}_2 \text{X}^-$ or $-\text{CH}_2 \text{NR}_1\text{CH}_2\text{CR}=\text{CH}_2$,

Z is $-\text{O}-$ or $-\text{NH}-$,

A is $-\text{C}_n\text{H}_{2n}-\text{B}$,

n is an integer from 1 to 4,

B is $-\text{NR}_1\text{R}_2$ or $-\text{N}^+\text{R}_1\text{R}_2\text{R}_3 \text{X}^-$,

R is $-\text{H}$ or $-\text{CH}_3$,

R_1 is C_{1-4} alkyl,

R_2 is C_{1-4} alkyl,

R_3 is $-\text{H}$ or C_{1-8} alkyl, C_{5-7} cycloalkyl or benzyl, and

X^- is anion, preferably halide, most preferably chloride.

Preferred ethylenically unsaturated tertiary amine or ethylenically unsaturated quaternary ammonium compounds include for instance dimethylaminoethyl (meth)acrylate, acid addition salt or quaternary ammonium salt thereof, preferably dimethylaminoethyl (meth)acrylate, methyl chloride quaternary ammonium salt or dimethylaminoethyl (meth)acrylate, benzyl chloride quaternary ammonium salt.

Normally the level of ethylenically unsaturated tertiary amine or ethylenically unsaturated quaternary ammonium compounds to be incorporated into the oligomer

is up to 10 mole %. Preferably these compounds are present in the oligomer in amounts in the range 2.5-5 mole %.

The oligomer compound normally contains higher levels of acrylamide or methacrylamide components. For instance the molar proportion of (meth)acrylamide is usually at least 85 mole % and is preferably at least 90 mole %. Typically the molar proportion of (meth)acrylamide is between 90 and 97.5 mole %, preferably 92.5-95 mole %.

It is also possible incorporate other ethylenically unsaturated monomers into the oligomer. In particular it is possible to incorporate up to 10 mole % ethylenically unsaturated carboxylic acid or ethylenically unsaturated carboxylic anhydride. This may be for instance acrylic acid or maleic anhydride preferably used in an amount between 2.5 and 5 mole %.

In the method of sizing paper the composition applied to the surface of the paper or board sheet further comprises polymeric particles are comprised of ethylenically unsaturated monomer or ethylenically unsaturated monomer blend, which monomer or monomer blend are substantially water insoluble at room temperature. The monomers polymerise to provide polymers that are substantially water insoluble at room temperature. Desirably the monomer or monomer blend has a solubility in water at 25°C of less than 5%. The monomers may be an ester of an ethylenically unsaturated carboxylic acid, styrene, alkyl styrene, (meth)acrylonitrile, vinyl carboxylate etc.. Typically the matrix of the polymeric particles are formed from a monomer or a monomer blend comprising monomers selected from the group consisting of styrene, C₁₋₁₂ alkyl (meth)acrylate, vinyl acetate, acrylonitrile. Preferably the matrix of the polymer particles is formed from 25-75 weight % monomer or monomer blend selected from any of styrene, acrylonitrile, vinyl acetate or C₁₋₂ alkyl (meth) acrylate, preferably styrene, acrylonitrile, methyl methacrylate, methyl acrylate, ethyl methacrylate, vinyl acetate and 25-75 weight % monomer or monomer blend selected from any C₃₋₁₂ alkyl (meth)acrylates, preferably butyl acrylate, n-hexyl acrylate, n-octyl acrylate and 2-ethylhexyl acrylate. More preferably the matrix of the

polymer particles is formed from 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight % styrene and 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight % 2-ethylhexyl acrylate. In another preferred aspect the polymer particles have a minimum film forming temperature of between -5 and 55°C , preferably between 25 and 45°C , most preferably about 35°C . It may also be desirable to combine cross linking monomer into the monomer blend. The cross linking monomer can be any polyethylenically unsaturated monomer, for instance divinyl benzene, ethylene glycol dimethacrylate or triallyl amine. Typically the amount of cross linking monomer used would be below 1% by weight based on total weight of monomer, for instance in the range 0.25-0.50 weight %. Generally the composition used in the method of sizing paper or board comprises polymer particles which have a particle size in the range 80-200nm, preferably 100-120nm.

In the method of sizing paper the blend of starch and polymer particles would be applied to the surface of the formed sheet of paper at a dose of up to $20\text{g}/\text{m}^2$, preferably up to $10\text{g}/\text{m}^2$, typically around $3\text{g}/\text{m}^2$.

The method of sizing paper or paper board provides paper with good water resistance properties in combination with surprisingly high levels of paper brightness. Furthermore, the size can conveniently be applied in sizing formulations of pH from as low as 1 to as high as 12 and still provide acceptable results.

A further aspect of the invention includes a method of improving the printability of a sheet of paper by applying to the surface of the formed sheet of paper a composition comprising an oligomer formed from a monomer blend comprising,

- (a) (meth)acrylamide,
- (b) an organic mercaptan or organic sulphone,
- (c) an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group, and
- (d) optionally other monomers.

In the method of improving printability of paper the oligomer would be applied directly to the formed sheet of paper at a dose of up to 20g/m^2 , preferably up to 10g/m^2 , typically around 3g/m^2 .

In the method of improving the printability of a sheet of paper, the oligomer, comprised in the composition may comprise any organic mercaptan or organic sulphone, generally C_{1-20} optionally substituted alkyl mercaptans, C_{1-20} optionally substituted alkyl sulphones, optionally substituted C_{5-7} cycloalkyl mercaptans, optionally substituted aromatic mercaptans, optionally substituted C_{5-7} cycloalkyl sulphones or optionally substituted aromatic sulphones are preferred. More preferred are C_{8-20} alkyl mercaptans, C_{5-7} cycloalkyl mercaptans, aromatic mercaptans, C_{8-20} alkyl sulphones, C_{5-7} cycloalkyl sulphones or aromatic sulphones. Particularly preferred is either dodecyl mercaptan or dodecyl sulphone.

In the method of improving printability the amount of organic mercaptan or organic sulphone used in the oligomer used in the composition is normally up to 10 mole %. It may be possible to use more than one organic mercaptan and/or organic sulphone in the preparation of the oligomer, although the combined molar proportions of organic mercaptan(s) and/or organic sulphone(s) is generally up to 10 mole %. Preferably the level of organic mercaptan or organic sulphone compounds is in the range 2.5-5 mole %. Preferably the oligomer used in the paper sizing composition comprises C_{8-20} alkyl mercaptans, C_{5-7} cycloalkyl mercaptans, aromatic mercaptans, C_{8-20} alkyl sulphones, C_{5-7} cycloalkyl mercaptans or aromatic sulphones. Suitable substituted alkyl mercaptans include 3-mercaptopropanoic acid, 2-mercaptoethanol whilst 2-sulphonylethanol and 3-sulphonylpropanoic acid are suitable substituted alkylsulphones. Most preferably the paper sizing composition comprises an oligomer comprising either dodecyl mercaptan or dodecyl sulphone. The oligomer incorporated in paper sizing composition comprises the organic mercaptan or organic sulphone in an amount up to 10 mole %, preferably 2.5-5 mole %.

In the method of improving the printability of paper or board the oligomer comprises ethylenically unsaturated tertiary amine or quaternary ammonium compounds into the oligomer, but preferred compounds are represented by compounds formula (1) given before in the specification and wherein the same preferences apply.

Preferred ethylenically unsaturated tertiary amine or ethylenically unsaturated quaternary ammonium compounds include for instance dimethylaminoethyl (meth)acrylate, acid addition salt or quaternary ammonium salt thereof, preferably dimethylaminoethyl (meth)acrylate, methyl chloride quaternary ammonium salt or dimethylaminoethyl (meth)acrylate, benzyl chloride quaternary ammonium salt.

In the method of improving the printability of paper the oligomer would preferably comprise ethylenically unsaturated tertiary amine or ethylenically unsaturated quaternary ammonium compounds to be incorporated into the oligomer is up to 10 mole %. Preferably these compounds are present in the oligomer in amounts in the range 2.5-5 mole %. The oligomer compound normally contains higher levels of acrylamide or methacrylamide components. For instance the molar proportion of (meth)acrylamide is usually at least 85 mole % and is preferably at least 90 mole %. It is also possible to incorporate other ethylenically unsaturated monomers into the oligomer. In particular it is possible to incorporate up to 10 mole % other ethylenically unsaturated monomers into the oligomer. In particular it is possible to incorporate up to 10 mole % ethylenically unsaturated carboxylic acid or ethylenically unsaturated carboxylic anhydride. This may be for instance acrylic acid or maleic anhydride preferably used in an amount between 2.5 and 5 mole %.

In a particularly preferred method of improving printability of paper the oligomer is comprised in an aqueous dispersion of polymer particles. The oligomer would be present at the surface of the polymer particles wherein the matrix of the polymer particles are derived from ethylenically unsaturated monomer or ethylenically unsaturated monomer blend, which monomer or monomer blend are substantially water insoluble at room temperature. The monomers polymerise to provide polymers that are substantially water insoluble at room temperature. Typically the matrix of the

polymeric particles are formed from a monomer or a monomer blend comprising monomers selected from the group consisting of styrene, C₁₋₁₂ alkyl (meth)acrylate, vinyl acetate, acrylonitrile. Preferably the matrix of the polymer particles is formed from 25-75 weight % monomer or monomer blend selected from any of styrene, acrylonitrile, vinyl acetate or C₁₋₂ alkyl (meth)acrylates, preferably styrene, acrylonitrile, methyl methacrylate, methyl acrylate, ethyl methacrylate, vinyl acetate and 25-75 weight % monomer or monomer blend selected from any of C₃₋₁₂ alkyl (meth)acrylates, preferably n-butyl acrylate, n-hexyl acrylate, n-octyl acrylate and 2-ethylhexyl acrylate. More preferably the matrix of the polymer particles is formed from 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight % styrene and 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight % 2-ethylhexyl acrylate. In another preferred aspect the polymer particles have a minimum film forming temperature of between -5 and 55°C, preferably between 25 and 45°C, most preferably about 35°C. Generally the composition used in the method of sizing paper or board comprises polymer particles which have a particle size in the range 80 to 200nm, preferably 100-120nm.

In a preferred method of improving the printability of paper a blend of starch and an aqueous dispersion of polymeric particles of particle size up to 1 micron, preferably 80-200nm, more preferably 100-120nm, wherein the polymeric particles comprise a water insoluble polymer matrix characterised in that an oligomer formed from a monomer blend comprising,

- (a) (meth)acrylamide,
- (b) an organic mercaptan or organic sulphone,
- (c) an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group and
- (d) optionally other monomers

and an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group comprises one or more compounds of formula (1) given before in the specification and wherein the same preferences apply.

Typically in the method of improving printability of paper the oligomer comprised in the composition applied to the surface of the formed sheet of paper comprises the compound of formula (1) in an amount up to 10 mole %, preferably 2.5-5 mole %. Ideally the oligomer is formed from a monomer blend comprising one or more compounds selected from the list comprising dimethylaminoethyl (meth)acrylate, acid addition salt or quaternary ammonium salt thereof, preferably dimethylaminoethyl (meth)acrylate, methyl chloride quaternary ammonium salt or dimethylaminoethyl (meth)acrylate, benzyl chloride quaternary ammonium salt. Preferably the oligomer formed from a monomer blend comprising acrylamide or methacrylamide in an amount of at least 85 mole %, preferably at least 90 mole %. The oligomer incorporated in the paper sizing composition may comprise as an additional ethylenically unsaturated monomer. In particular it is possible to incorporate up to 10 mole % ethylenically unsaturated carboxylic acid or ethylenically unsaturated carboxylic anhydride. This may be for instance acrylic acid or maleic anhydride preferably used in an amount between 2.5 and 5 mole %.

The invention relates to a method of improving printability of paper by applying to the surface of the paper a blend comprising starch, optionally optical brighteners and an aqueous dispersion of the polymeric particles which are formed from a monomer or a monomer blend comprising monomers selected from the group consisting of styrene, C₁₋₁₂ alkyl (meth)acrylate, vinyl acetate and acrylonitrile. Preferably the matrix of the polymer particles is formed from 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight %, monomer or monomer blend selected from the group consisting of styrene, acrylonitrile, vinyl acetate and C₁₋₂ alkyl (meth)acrylates, preferably styrene, acrylonitrile, methyl methacrylate, methyl acrylate, ethyl methacrylate, vinyl acetate and 25-75 weight %, preferably 35-65 weight %, most preferably about 50 weight %, monomer or monomer blend selected from any of C₃₋₁₂ alkyl (meth)acrylates, preferably n-butyl acrylate, n-hexyl acrylate, n-octyl acrylate and 2-ethylhexyl acrylate. Most preferably the matrix of the polymer particles is formed from 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight % styrene and 25-75 weight %, preferably 35-65 weight %, most preferably about 50 weight % 2-ethylhexyl acrylate.

The method of improving printability of paper desirably involves applying to the surface of a formed sheet of paper a blend of starch and polymer particles that have a minimum film forming temperature of between -5 and 55°C , preferably between 25 and 45°C , most preferably about 35°C . The polymer particles comprised in the paper sizing composition desirably have a particle size below 1 micron, preferably in the range 80 to 200nm, more preferably 100-120nm. Ideally the blend of starch and polymer particles, comprise 0.5 to 10 wt % polymer particles and 90 to 99.5 wt.% starch, based on dry weight of the blend.

In the method of improving printability the blend of starch and polymer particles would be applied to the surface of the formed sheet of paper at a dose of up to $20\text{g}/\text{m}^2$, preferably up to $10\text{g}/\text{m}^2$, typically around $3\text{g}/\text{m}^2$.

In the method of improving printability of paper, it has surprisingly been found that the paper treated by this method has an acceptable level of water resistance in combination with a high degree of paper brightness and when used in for instance non-impact printing, provides superior printability properties. Furthermore, the size can conveniently be applied in sizing formulations of pH from as low as 1 to as high as 12 and still provide acceptable results.

One aspect the invention encompasses a novel composition comprising an aqueous dispersion of polymeric particles of particle size up to 1 micron, wherein the polymeric particles comprise a water insoluble polymer matrix and at the surface an oligomer formed from a monomer blend comprising,

- (a) (meth)acrylamide,
- (b) an organic mercaptan or organic sulphone,
- (c) an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group and
- (d) optionally other monomers.

Although any organic mercaptan or organic sulphone may be present in the oligomer, generally C₁₋₂₀ optionally substituted alkyl mercaptans, C₁₋₂₀ optionally substituted alkyl sulphones, optionally substituted C₅₋₇ cycloalkyl mercaptans, optionally substituted aromatic mercaptans, optionally substituted C₅₋₇ cycloalkyl sulphones or optionally substituted aromatic sulphones are preferred. Suitable substituted alkyl mercaptans include 3-mercaptopropanoic acid, 2-mercaptoethanol whilst 2-sulphonylethanol and 3-sulphonylpropanoic acid are suitable substituted alkylsulphones. More preferred are C₈₋₂₀ alkyl mercaptans, C₅₋₇ cycloalkyl mercaptans, aromatic mercaptans, C₈₋₂₀ alkyl sulphones, C₅₋₇ cycloalkyl sulphones or aromatic sulphones. Particularly preferred is either dodecyl mercaptan or dodecyl sulphone.

The amount of organic mercaptan or organic sulphone used in the oligomer is normally up to 10 mole %. It may be possible to use more than one organic mercaptan and/or organic sulphone in the preparation of the oligomer, although the combined molar proportions of organic mercaptan(s) and/or organic sulphone(s) is generally up to 10 mole %. Preferably the level of organic mercaptan or organic sulphone compounds is in the range 2.5 to 5 mole %.

It would be possible to incorporate any number of ethylenically unsaturated tertiary amine or ethylenically unsaturated quaternary ammonium compounds into the oligomer, but preferred compounds are represented by compounds of formula (1) given before in the specification and wherein the same preferences apply.

Preferred ethylenically unsaturated tertiary amine or ethylenically unsaturated quaternary ammonium compounds include for instance dimethylaminoethyl (meth)acrylate, acid addition salt or quaternary ammonium salt thereof, preferably dimethylaminoethyl (meth)acrylate, methyl chloride quaternary ammonium salt or dimethylaminoethyl (meth)acrylate, benzyl chloride quaternary ammonium salt.

Normally the level of ethylenically unsaturated tertiary amine or ethylenically unsaturated quaternary ammonium compounds to be incorporated into the oligomer

is up to 10 mole %. Preferably these compounds are present in the oligomer in amounts in the range 2.5 to 5 mole %.

The oligomer compound normally contains higher levels of acrylamide or methacrylamide components. For instance the molar proportion of (meth)acrylamide is usually at least 85 mole % and is preferably between 90 mole % and 97.5 mole %, preferably 92.5 mole % to 95 mole %.

It is also possible to incorporate other ethylenically unsaturated monomers into the oligomer. In particular it is possible to incorporate up to 10 mole % ethylenically unsaturated carboxylic acid or ethylenically unsaturated carboxylic anhydride. This may be for instance acrylic acid or maleic anhydride preferably used in an amount between 2.5 and 5 mole %.

Generally speaking the matrix of the polymeric particles are comprised of ethylenically unsaturated monomer or ethylenically unsaturated monomer blend, which monomer or monomer blend is substantially water insoluble at room temperature. The monomers polymerise to provide polymers that are substantially water insoluble at room temperature. Typically the matrix of the polymeric particles are formed from a monomer or a monomer blend comprising monomers selected from the group consisting of styrene, C₁₋₁₂ alkyl (meth)acrylate, vinyl acetate, acrylonitrile. Preferably the matrix of the polymer particles is formed from 25-75 weight % monomer or monomer blend selected from any of styrene, acrylonitrile, vinyl acetate or C₁₋₂ alkyl (meth)acrylates, especially styrene, acrylonitrile, methyl methacrylate, methyl acrylate, ethyl methacrylate and vinyl acetate and 25-75 weight % monomer or monomer blend selected from any of C₃₋₁₂ alkyl (meth)acrylates, especially butyl acrylate, n-hexyl acrylate, n-octyl acrylate and 2-ethylhexyl acrylate. More preferably the matrix of the polymer particles is formed from 25-75 weight %, preferably 35 to 65 weight %, most preferably about 50 weight % styrene and 25-75 weight %, preferably 35 to 65 weight %, most preferably about 50 weight % 2-ethylhexyl acrylate. In another preferred aspect the polymer particles have a minimum film forming temperature of between -5 and 55°C, preferably between 25

and 45°C, most preferably about 35°C. Generally the composition comprises a dispersion of polymer particles which have a particle size in the range 80-200nm, preferably 100-120nm.

Thus the invention encompasses an aqueous dispersion of polymer particles, wherein the polymer particles have a particle size in the range 80-200nm, preferably 100-120nm and comprising a matrix of water insoluble polymer, preferably formed from ethylenically unsaturated water insoluble monomers, more preferably formed from 25-75 wt.%, usually around 50 wt.%, of one or more monomers selected from styrene, acrylonitrile, methyl methacrylate, vinyl acetate, especially styrene and 25-75 wt.%, usually around 50 wt.%, of one or more monomers selected from butyl acrylate, n-hexyl acrylate, 2-ethylhexyl acrylate and n-octyl acrylate, especially 2-ethylhexyl acrylate, characterised in that the polymer particles also comprise at their surface an oligomer of acrylamide or methacrylamide which additionally comprises an organic mercaptan or organic sulphone, preferably a C₈₋₂₀ alkyl mercaptan or C₈₋₂₀ alkyl sulphone, more preferably dodecyl mercaptan or dodecyl sulphone. Preferably the oligomer also comprises components based on ethylenically unsaturated tertiary amines or ethylenically unsaturated quaternary ammonium compounds, for instance dimethylaminoethyl methacrylate methyl chloride quaternised or dimethylaminoethyl methacrylate benzyl chloride quaternised. It is also possible to incorporate other ethylenically unsaturated monomers into the oligomer. In particular it is possible to incorporate up to 10 mole % ethylenically unsaturated carboxylic acid or ethylenically unsaturated carboxylic anhydride. This may be for instance acrylic acid or maleic anhydride preferably used in an amount between 2.5 and 5 mole %.

The novel composition could be used in the coating of various substrates, but the compositions are desirably paper and paper board sizing agents or paper coating materials that can improve water resistance and/or printability of paper. Generally the novel compositions are blended with other materials such as solutions of natural or synthetic water soluble or water swellable polymers to form sizing or coating formulations.

The oligomer is made by mixing together the components with water and optionally other solvents, for instance alcohols such as ethanol or carboxylic acids such as acetic acid, wherein the components comprise at least

- (a) (meth)acrylamide and
 - (b) an organic mercaptan or organic sulphone
- and effecting oligomerisation in the usual manner.

A preferred form of the oligomer comprises the components

- (a) (meth)acrylamide,
- (b) an organic mercaptan or organic sulphone,
- (c) an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group and
- (d) optionally other monomers

are mixed with sufficient water and optionally an alcohol, for instance ethanol, and optionally a carboxylic acid, such as acetic acid, to provide an aqueous solution of the monomer blend. Oligomerisation is effected by the use of suitable initiators in the usual manner.

Oligomers formed from any of the organic mercaptans, may be treated with a suitable oxidising agent, for instance a peroxide, especially hydrogen peroxide, in order to convert some or all of the mercapto groups to sulphone groups.

Preparation of the aqueous dispersion of polymeric particles according to the invention may desirably be effected by suspension polymerisation or emulsion polymerisation. In a preferred process the water insoluble monomers, are emulsified into an aqueous medium comprising the oligomer in an amount up to 30 % by weight, preferably between 10 and 20% by weight. Polymerisation is effected in the usual way, but may for instance employ the use of redox initiators, thermal initiators, UV radiation or combinations of these. The composition formed will comprise an aqueous dispersion of polymeric particles of particle size up to 1 micron, preferably in the range 80-200 nm, more preferably in the range 100-120nm wherein the

polymeric particles comprise a water insoluble polymer matrix wherein the polymeric particles comprise a water insoluble polymer matrix and at the surface an oligomer formed from a monomer blend comprising,

- (a) (meth)acrylamide and
- (b) an organic mercaptan or organic sulphone

In a preferred form of the composition the oligomer is formed from a monomer blend comprising,

- (a) (meth)acrylamide,
- (b) an organic mercaptan or organic sulphone,
- (c) an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group and
- (d) optionally other monomers.

The following are examples of the invention.

Example 1

Preparation of Polymer A

45 parts by weight of styrene and 45 parts by weight of 2-ethylhexyl acrylate is emulsified in 125 parts by weight of water containing 10 parts by weight of an oligomer formed from 20 molar parts acrylamide and 1 molar part dodecyl mercaptan and oligomerised in a 50/50 wt/wt water/ethanol medium. Emulsion polymerisation is effected in the usual manner using redox initiators and a thermal initiator to form a stable dispersion of polymer particles.

Preparation of Polymer B

The process of preparing polymer A is repeated except the oligomer used comprises 19 parts by mole acrylamide, 1 part by mole dodecyl mercaptan and 1 part by mole part of the methyl chloride quaternary ammonium salt of dimethylaminoethyl methacrylate.

Preparation of Polymer C

The process for preparing polymer A is repeated except the oligomer used comprises 19 parts by mole acrylamide, 1 part by mole dodecyl mercaptan and 1 part by mole of methyl chloride quaternary ammonium salt of dimethylaminoethyl methacrylate.

Preparation of Polymer D

The process of preparing polymer A is repeated except the oligomer is prepared in a 50/50 wt/wt water acetic acid solvent.

Preparation of Polymer E

The process for preparing polymer D is repeated except the oligomer used comprises 90 parts by mole acrylamide, 5 parts by mole dodecyl mercaptan and 5 parts by mole of dimethylaminoethyl methacrylate.

Preparation of Polymer F

The process for preparing polymer D is repeated except the oligomer used comprises 92.5 parts by mole acrylamide, 2.5 parts by mole dodecyl mercaptan and 5 parts by mole of dimethylaminoethyl methacrylate.

Preparation of Polymer G

The process for preparing polymer D is repeated except the oligomer used comprises 85 parts by mole acrylamide, 10 parts by mole dodecyl mercaptan and 5 parts by mole of dimethylaminoethyl methacrylate.

Preparation of Polymer H

The process for preparing polymer D is repeated except the oligomer used comprises 93.5 parts by mole acrylamide, 1.5 parts by mole dodecyl mercaptan and 5 parts by mole of dimethylaminoethyl methacrylate.

Preparation of Polymer I

The process for preparing polymer D is repeated except the oligomer used comprises 92.5 parts by mole acrylamide, 5 parts by mole dodecyl mercaptan and 2.5 parts by mole of dimethylaminoethyl methacrylate.

Preparation of Polymer J

The process for preparing polymer D is repeated except the oligomer used comprises 95 parts by mole acrylamide, 5 parts by mole dodecyl mercaptan:

Preparation of Polymer K

The process for preparing polymer D is repeated except the oligomer used comprises 80 parts by mole acrylamide, 5 parts by mole dodecyl mercaptan and 15 parts by mole of dimethylaminoethyl methacrylate.

Preparation of Polymer L

The process for preparing polymer D is repeated except the oligomer used comprises 85 parts by mole acrylamide, 5 parts by mole dodecyl mercaptan and 10 parts by mole of dimethylaminoethyl methacrylate.

Preparation of Polymer M

The process for preparing polymer D is repeated except the oligomer used comprises 90 parts by mole acrylamide, 5 parts by mole dodecyl mercaptan and 5 parts by mole of dimethylaminoethyl methacrylate.

Preparation of Polymer N

The process for preparing polymer D is repeated except the oligomer used comprises 85 parts by mole acrylamide, 5 parts by mole dodecyl mercaptan, 5 parts by mole of dimethylaminoethyl methacrylate and 5 parts by mole acrylic acid.

Preparation of Polymer O

The process for preparing polymer D is repeated except the oligomer used comprises 82.5 parts by mole acrylamide, 5 parts by mole dodecyl mercaptan, 5 parts by mole of dimethylaminoethyl methacrylate and 7.5 parts by mole acrylic acid.

Preparation of Polymer P

The process for preparing polymer D is repeated except the oligomer used comprises 87.5 parts by mole acrylamide, 5 parts by mole dodecyl mercaptan, 5 parts by mole of dimethylaminoethyl methacrylate and 2.5 parts by mole acrylic acid.

Preparation of Polymer Q

The process for preparing polymer N is repeated except that a monomer blend comprising 63 parts by weight of styrene and 27 parts by weight of 2-ethylhexyl acrylate.

Preparation of Polymer R

The Process of preparing polymer Q is repeated except 20 weight % of the oligomer is used.

Preparation of Polymer S

The process of preparing polymer R is repeated except that 0.25 weight % ethyleneglycol dimethacrylate is combined with the styrene/2-ethylhexyl acrylate monomer blend.

Preparation of Polymer T

The process of preparing polymer R is repeated except that 0.50 weight % ethyleneglycol dimethacrylate is combined with the styrene/2-ethylhexyl acrylate monomer blend.

Preparation of Polymer U

The process of preparing polymer R is repeated except that 0.25 weight % divinyl benzene is combined with the styrene/2-ethylhexyl acrylate monomer blend.

Preparation of Polymer V

The process for preparing polymer Q is repeated except the oligomer used is prepared using 5 parts by mole maleic anhydride is used in place of acrylic acid.

Polymers from example 1 are blended with a pre cooked aqueous 6% starch slurry at a ratio of 40:1 starch/polymer to provide a sizing formulation. The sizing formulations are applied to the surface of the paper standard unsized linerboard using a size press with a No. 7 K-bar to give a 5gm⁻² coat and dried for 30 minutes at 110°C in an oven are made by blending each of the polymers of example 1 with a pre cooked starch solution to provide a blend comprising a ratio of 40:1 starch:polymer. The sheets are assessed for a 60 second Cobb test and the results are shown in Table 1.

Polymers from example 1 are blended with a pre cooked aqueous 6% starch slurry at a ratio of 40:1 starch/polymer to provide a sizing formulation. The sizing formulations are applied to the surface of the paper standard unsized linerboard using a size press with a No. 7 K-bar to give a 5gm⁻² coat and dried for 30 minutes at 110°C in an oven are made by blending each of the polymers of example 1 with a pre cooked starch solution to provide a blend comprising a ratio of 40:1 starch:polymer. The sheets are assessed for a 60 second Cobb test and the results are shown in Table 1.

Table 1

Polymer	Average Cobb Test gm^{-2}
A	22.1
D	17.2
E	22.6
F	22.0
G	25.9
H	24.1
I	21.5
J	21.3
K	23.8
L	22.5
M	21.8
N	25.6
O	25.1
Q	21.6
R	20.2
S	19.6
T	20.1
U	19.1
V	20.9

As can be seen from the results all of the products give acceptable water resistance to the sheet. Particularly good results are obtained from formulations comprising polymers B, I, J, M, Q, R, S, T, U and V

Example 3

Polymers from example 1 are blended with an aqueous 6% starch slurry at a ratio of 20:1 starch/polymer. The blends are coated onto UMIST paper sheets at a dose of 5gm^{-2} . Printability tests are obtained using a Hewlett Packard Deskjet 560C printer.

The Black Optical Density and Colour Density were measured on paper coated using the respective products. The results are presented in Table 2

OD - Optical Density

CD - Colour Density

Deskjet is a trade mark of Hewlett Packard.

Table 2

POLYMER	CD Cyan	CD Green	CD Yellow	CD Blue	CD Magenta	CD Red	Pass/ Fail	Com Black OD min
A	2	2	1	4	1	1	Pass	1.386
B	2	2	0	4	1	1	Pass	1.332
C	2	2	0	3	1	1	Pass	1.356
D	2	3	0	3	1	1	Pass	1.39
N	2	2	1	4	1	2	Pass	1.412
O	2	2	1	4	1	2	Pass	1.420
P	2	2	1	4	1	2	Pass	1.430
Q	2	1	2	-1	1	2	Pass	1.353

As can be seen all of the polymers gave acceptable results.

Example 4

The 20:1 starch/polymer formulations of example 3 are coated onto UMIST standard paper sheets and standard brightness tests are carried out. The results of the tests are shown in Table 3.

Table 3

Surface Size	Brightness
Starch only	75.75
Starch + OBA + Polymer A	82.58
Starch + OBA + Polymer B	82.06
Starch + OBA + Polymer C	82.62
Starch + OBA + Polymer D	82.63
Starch + OBA + Polymer I	81.0
Starch + OBA + Polymer J	80.6
Starch + OBA + Polymer K	81.1
Starch + OBA + Polymer L	81.3
Starch + OBA + Polymer M	81.5
Starch + OBA + Polymer N	83.39

The polymers of the current invention gave a high degree of brightness. Best results were obtained using polymers A,B,C,D and N.

Example 5

Polymers D and Q from example 1 are blended with a pre cooked aqueous 6% starch slurry at a ratio of 40:1 starch/polymer to provide various sizing formulation of different pHs. The sizing formulations are applied to the surface of the standard linerboard as in example 2. Water resistance of the sized paper is measured using 60 second Cobb test. The results are shown in Tables 4 and 5

Table 4

Formulation	Cobb Value (gm ⁻²)								
	pH 1.7	pH 2.9	pH 4.1	pH 5.8	pH 6.7	pH 7.6	pH 9.2	pH 10.2	pH 11.5
Polymer D	21.2	21.0	20.6	21.3	21.1	22.0	20.6	20.7	21.9

Table 5

Formulation	Cobb Value (gm ⁻²)					
	pH	pH	pH	pH	pH	pH
	1.5	3.6	7.1	9.2	10.3	11.7
Polymer Q	19	18.1	19.3	18.8	18.3	18.8

The results of this test demonstrate that the polymers provide the paper with good water resistance at acid, neutral or alkaline pHs.

Example 6

Polymer Q was added as the aqueous emulsion directly to two types of papermaking stock and the formed paper sheets were tested for water resistance.

dose (Kg/T)	Cobb Value (gm ⁻²)			
	2	4	8	12
Waste Furnish	185	74	34	25
Fine Furnish	188	44	24	26

As can be seen the use of the polymers of the invention as internal sizing agents shows good water resistance properties on two different types of stock.

The results of all of these examples clearly demonstrate that the products of the invention provide paper with good water resistance, printability and brightness.

Claims

1. A method of sizing paper or paper board by applying a composition (A) to at least one of,

i) the surface of a formed paper or paper board sheet,

ii) a paper or paper board making cellulosic suspension prior to draining,

wherein the composition (A) comprises an aqueous dispersion of polymeric particles of particle size up to 1 micron, wherein the polymeric particles comprise a water insoluble polymer matrix, comprised of ethylenically unsaturated monomer or ethylenically unsaturated monomer blend, characterised in that an oligomer formed from a monomer blend comprising,

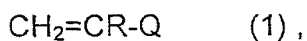
(a) (meth)acrylamide and

(b) an organic mercaptan or organic sulphone,

is located at the surface of the polymer particles.

2. A method according to claim 1 wherein component (b) is selected from the group consisting of C₈₋₂₀ alkyl mercaptans, C₅₋₇ cycloalkyl mercaptans, aromatic mercaptans, C₈₋₂₀ alkyl sulphones, C₅₋₇ cycloalkyl mercaptans and aromatic sulphones, preferably either dodecyl mercaptan or dodecyl sulphone.

3. A method according to claim 1 or claim 2 wherein the oligomer further comprises component (c) which is a compound of formula (1)



wherein

Q is $-\text{C}(\text{O})-\text{Z}-\text{A}-$, $-\text{CH}_2-\text{N}^+\text{R}_1\text{R}_3\text{CH}_2\text{CR}=\text{CH}_2 \text{X}^-$ or $-\text{CH}_2 \text{NR}_1\text{CH}_2\text{CR}=\text{CH}_2$,

Z is $-\text{O}-$ or $-\text{NH}-$,

A is $-\text{C}_n\text{H}_{2n}-\text{B}-$,

n is an integer from 1 to 4,

B is $\text{-NR}_1\text{R}_2$ or $\text{-N}^+\text{R}_1\text{R}_2\text{R}_3 \text{ X}^-$,

R is -H or -CH_3 ,

R_1 is C_{1-4} alkyl,

R_2 is C_{1-4} alkyl,

R_3 is -H or C_{1-8} alkyl, C_{5-7} cycloalkyl or benzyl, and

X^- is an anion, preferably halide, most preferably chloride.

4. A method according to any of claims 1 to 3 wherein component (c) is dimethylaminoethyl (meth)acrylate, acid addition salt or quaternary ammonium salt thereof, preferably dimethylaminoethyl (meth)acrylate, methyl chloride quaternary ammonium salt or dimethylaminoethyl (meth)acrylate or benzyl chloride quaternary ammonium salt.

5. A method according to any of claims 1 to 4 wherein the oligomer comprises at least 85 mole % of component (a), preferably at least 90 mole %.

6. A method according to any of claims 1 to 5 wherein the oligomer comprises component (b) in an amount up to 10 mole %, preferably 2.5 - 5 mole %.

7. A method according to any of claims 1 to 6 wherein the oligomer comprises component (c) in an amount up to 10 mole %, preferably 2.5 - 5 mole %.

8. A method according to any of claims 1 to 7 wherein the oligomer further comprises component (d) which is an ethylenically unsaturated carboxylic acid or an ethylenically unsaturated carboxylic anhydride in an amount up to 10 mole %.

9. A method according to claim 8 wherein component (d) is acrylic acid or maleic anhydride and is present in an amount between 2.5 and 5 mole %.

10. A method according to any of claims 1 to 9 wherein the matrix of the polymeric particles are formed from a monomer or a monomer blend comprising monomers

selected from the group consisting of styrene, C₁₋₁₂ alkyl (meth)acrylate, vinyl acetate and acrylonitrile.

11. A method according to any of claims 1 to 10 wherein the matrix of the polymer particles is formed from 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight %, monomer or monomer blend selected from any of styrene, acrylonitrile, vinyl acetate and

C₁₋₂ alkyl (meth)acrylate, preferably styrene, acrylonitrile, methyl methacrylate, methyl acrylate, ethyl methacrylate, vinyl acetate and 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight %, monomer or monomer blend selected from any of C₃₋₈ alkyl (meth)acrylate, preferably butyl acrylate, n-hexyl acrylate, n-octyl acrylate and 2-ethylhexyl acrylate.

12. A method according to any of claims 1 to 11 wherein the matrix of the polymer particles is formed from 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight % styrene and 25-75 weight %, preferably 35-65 weight %, most preferably 50 weight % 2-ethylhexyl acrylate.

13. A method according to any of claims 1 to 12 wherein the polymer particles are formed from a monomer blend comprising cross linking monomer.

14. A method according to any of claims 1 to 13 wherein the polymer particles have a minimum film forming temperature of between -5 and 55°C, preferably between 25 and 45°C, most preferably about 35°C.

15. A method according to any of claims 1 to 14 wherein the polymer particles have a particle size in the range 80-200nm, preferably 100-120nm.

16. A method according to any of claims 1 to 15 wherein the composition (A) comprises 0.5 to 10 weight %, preferably 2.5 to 5 weight %, polymer particles and 90 to 99.5 weight %, preferably 95 to 97.5 weight %, starch based on total dry weight of polymer particles and starch.

17. A method of improving printability of a sheet of paper by applying to the surface of the formed paper sheet a composition comprising an oligomer formed from a monomer blend comprising,

- (a) (meth)acrylamide,
- (b) an organic mercaptan or organic sulphone,

(c) an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group, and

(d) optionally other monomers.

18. A method according to claim 17 wherein the composition comprises an aqueous dispersion of polymeric particles of particle size up to 1 micron, preferably 80-200nm, wherein the polymeric particles comprise a water insoluble polymer matrix, preferably formed from styrene and 2-ethylhexyl acrylate, and the oligomer is located at the surface of the polymer particles.

19. A method according to claim 17 or claim 18 wherein the oligomer is formed from a monomer blend comprising,

(a) 85-95 mole % (meth)acrylamide,

(b) 2.5-10 mole % of an organic mercaptan or an organic sulphone, preferably dodecyl mercaptan or dodecyl sulphone,

(c) 2.5-10 mole % of an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group, preferably dimethylaminoethylmethacrylate, and

(d) 0-10 mole% other ethylenically unsaturated monomers, preferably acrylic acid or maleic anhydride.

20. A method according to any of claims 17 to 19 wherein the composition comprises 0.5 to 10 weight %, preferably 2.5 to 5 weight %, polymer particles and 90 to 99.5 weight %, preferably 95 to 97.5 weight %, starch based on total dry weight of polymer particles and starch.

21. A method according to any of claims 17 to 20 wherein the composition comprises optical brightening aids.

22. A composition comprising an aqueous dispersion of polymeric particles of particle size up to 1 micron, wherein the polymeric particles comprise a water insoluble polymer matrix, preferably formed from styrene and 2-ethylhexyl acrylate, characterised in that an oligomer formed from a monomer blend comprising,

(a) 85-95 mole % (meth)acrylamide and

(b) 2.5-10 mole % of an organic mercaptan or an organic sulphone, and

(c) 2.5-10 mole % of an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group, and

(d) 0-10 mole% other ethylenically unsaturated monomers, preferably acrylic acid or maleic anhydride,

is located at the surface of the polymer particles.

23. A composition according to claim 22, wherein the polymeric particles have a particle size of 80-200 nm.

24. A composition according to claim 22 or claim 23, wherein component (b) is dodecyl mercaptan or dodecyl sulphone, present in an amount of 2.5-5 mole % based on total oligomer.

25. A composition according to any of claims 22 to 24, wherein component (c) is dimethylaminoethyl methacrylate, present in an amount of 2.5-5 mole % based on total oligomer.

26. A composition according to any of claims 22 to 25, wherein component (d) is acrylic acid or maleic anhydride, present in an amount of 2.5-5 mole % based on total oligomer.

2025 RELEASE UNDER E.O. 14176

Abstract

A method of sizing paper by applying a composition comprising an aqueous dispersion of polymeric particles of particle size up to 1 micron, preferably 80-200nm, wherein the polymeric particles comprise a water insoluble polymer matrix, preferably formed from styrene and 2-ethylhexyl acrylate, characterised in that an oligomer formed from a monomer blend comprising,

- (a) (meth)acrylamide, and
- (b) organic mercaptan or an organic sulphone, preferably dodecyl mercaptan or dodecyl sulphone

is located at the surface of the particles. Compositions where the oligomer further comprises an ethylenically unsaturated monomer comprising either a tertiary amine group or a quaternary ammonium group, preferably dimethylaminoethylmethacrylate are novel.

Advantages of the composition when applied to the surface of paper, include improved water resistance, brightness and printability properties of the treated paper.

2025-01-06 10:00:00

DECLARATION AND POWER OF ATTORNEY FOR U.S. PATENT APPLICATIONS

☒ Original ☐ Supplemental ☐ Substitute ☐ PCT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if more than one name is listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Aqueous Polymeric Emulsion Compositions

which is described and claimed in:

- ☐ the attached specification.
- ☐ the specification in U.S. Application No. _____ (if applicable).
filed _____, and as amended on _____
(day/month/year) (day/month/year)
- ☒ the specification in International Application No. **PCT/EP00/00160** ✓
filed **12/01/2000** ✓
(day/month/year)
- assigned U.S. Application No. _____ (if applicable), and as amended
- ☐ under PCT Article 19 on _____ (if applicable)
(day/month/year)
- ☐ under PCT Article 34 on _____ (if applicable)
(day/month/year)
- ☐ and further amended on _____ (if applicable)
(day/month/year)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is known by me to be material to the patentability of this application as defined in 37 C.F.R. § 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America relating to this subject matter having a filing date before that of the application on which priority is claimed:

COUNTRY/REGION (OR PCT)	APPLICATION No.	FILING DATE (day/month/year)	PRIORITY CLAIMED	
Great Britain	9901597.6 ✓	26/01/1999 ✓	<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
			<input type="checkbox"/> Yes	<input type="checkbox"/> No
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I hereby claim the benefit under 35 U.S.C. § 119 (e) of any United States provisional application(s) listed below:

APPLICATION NO.	FILING DATE (day/month/year)
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I hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s) or PCT international application(s) designating the United States listed below and, insofar as the application discloses and claims subject matter in addition to that disclosed in the prior copending application, I acknowledge the duty to disclose all information known by me to be material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. APPLICATION No.	FILING DATE (day/month/year)	STATUS		
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned
		<input type="checkbox"/> Patented	<input type="checkbox"/> Pending	<input type="checkbox"/> Abandoned

PCT APPLICATION No. (designating the U.S.)	INTERNATIONAL FILING DATE (day/month/year)	U.S. APPLICATION No. (if any)	STATUS
			<input type="checkbox"/> Patented
			<input type="checkbox"/> Pending
			<input type="checkbox"/> Abandoned

I hereby appoint the following attorneys and agents, associated with Customer No. 000324, each of them with full power of substitution, revocation and appointment of associates, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

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